

## INTERNAL AUDIT PLAN - 2019/20

AREA OR SUBJECT OF REVIEW	Risk Rating	Audit type	days allocated	
<b>KEY FINANCIAL SYSTEMS</b>				
COUNCIL TAX	Medium	Key Financial System - full	12	
NNDR	Medium	Key Financial System - full	12	
PAYROLL	Medium	Key Financial System - full	12	
BANK REC & CASH	Medium	Key Financial System - full	12	
HOUSING RENTS	Medium	Key Financial System - full	12	
CAPITAL CONTRACTS	High	Key Financial System - annual testing	12	
HOUSING RESPONSIVE REPAIRS	High	Key Financial System - annual testing	20	<b>92</b>
<b>STRATEGIC &amp; OPERATIONAL RISKS</b>				
Organisation transformation	Medium	System based review	10	
Community safety	Medium	Risk based review	10	
Outdoor events	Medium	Risk based review	8	
Community leisure	Medium	Risk based review	8	
Business Continuity	Medium	Systems based review	12	
Income Management	Medium	Systems based review	10	
Project Management	High	Risk based review	10	
Customer Services		Risk based review	8	
Corporate Policy Management		Systems based review	10	

**ICT AUDIT REVIEWS**

I Trent application review	Medium	IT Audit	7	
Cyber Resilience	High	IT Audit	5	
M3 application review	Medium	IT Audit	8	
				<b>20</b>

**CONSULTANCY**

5

**5****IMPLEMENTATION REVIEWS**

30

**30****ANNUAL GOVERNANCE & ASSURANCE FRAMEWORK**

ANNUAL GOVERNANCE STATEMENT	HIGH	Assurance work	13	
ANNUAL AUDIT OPINION	HIGH	Assurance work	3	
ANNUAL AUDIT PLANNING & REVIEW	HIGH	Assurance work	6	
INTERNAL AUDIT QUALITY ASSURANCE & IMPROVEMENT PROGRAMME	HIGH	Assurance work	8	
REVIEW OF FINANCIAL GUIDANCE	HIGH	Assurance work	2	
				<b>32</b>

**REVIEW WORK COMPLETED ON BEHALF OF STAFFORDSHIRE COUNTY COUNCIL**

PENSION CONTRIBUTIONS	MEDIUM	SUBSTANTIVE TESTING	7	
DFG	MEDIUM	SUBSTANTIVE TESTING	5	
MUNICIPAL CHARITIES	MEDIUM	PRODUCTION OF ACCOUNTS	2	<b>14</b>

**CORPORATE SUPPORT**

GOVERNANCE TRAINING	5	
AUDIT & GOVERNANCE COMMITTEE SUPPORT	15	
EXTERNAL AUDIT LIAISON	2	
CONTINGENCY	17	<b>39</b>
<b>TOTAL AUDIT DAYS</b>	<b>318</b>	

**318**

Approvals

Name
Audit & Governance Committee - Chair
Chief Executive
Head of Audit & Governance

Signature	Date

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